



#3

212722.00005

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
JOHN C. PEIFFER, ET AL. ) : Examiner: Unassigned  
Application No.: 09/973,893 ) : Group Art Unit: 2611  
Filed: October 11, 2001 ) :  
For: METHOD AND APPARATUS FOR ) : June 4, 2002  
IDENTIFYING A DIGITAL :  
AUDIO SIGNAL )

Office of Initial Patent Examination's  
Customer Service Center  
Washington, D.C. 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Sir:

Initially, please change the Attorney Docket Number  
to "212722.00005".

Applicants' attorney have received an Official Filing  
Receipt in the above-identified application in which the  
following error appear:

The filing date of the invention incorrectly reads  
"January 8, 2002" and should read --October 11, 2001--.

Attached hereto is a copy of the Official Filing  
Receipt with the change made thereon.

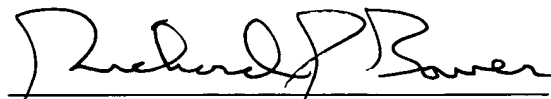
Applicants' attorney submits that the response filed on January 8, 2002 was not a Petition to Supply Missing Parts, but a Preliminary Amendment correcting paragraphs in the original specification concerning references and Figures 2-4.

Issuance of a Corrected Filing Receipt with the correct information as shown above, is respectfully requested.

The Commissioner is hereby authorized to charge Deposit Account No. 50-7010 any fee which may be required in connection with this. A duplicate of this paper is enclosed.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 625-3500. All correspondence should continue to be directed to our address given below.

Respectfully submitted,



Attorney for Applicants  
Richard P. Bauer  
Registration No. 31,588

Patent Administrator  
KATTEN MUCHIN ZAVIS ROSENMAN  
525 West Monroe Street  
Suite 1600  
Chicago, Illinois 60661-3693  
Facsimile: (312) 902-1061



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/973,893	04/00/2002 10/11/01	2611	2612	12722.00005	4	82	12

CONFIRMATION NO. 8949

27160  
PATENT ADMINSTRATOR  
KATTEN MUCHIN ZAVIS  
SUITE 1600  
525 WEST MONROE STREET  
CHICAGO, IL 60661

## UPDATED FILING RECEIPT



\*OC000000007302254\*

Date Mailed: 01/14/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

John C. Peiffer, New Port Richey, FL;  
Michael A. Hicks, Palm Harbor, FL;  
David Howell Wright, Clearwater, FL;  
Paul Mark Mears, Safety Harbor, FL;  
Venugopal Srinivasan, Palm Harbor, FL;  
Daozheng Lu, Dunedin, FL;  
Paul C. Kempter, Palm Harbor, FL;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 11/08/2001

Projected Publication Date: 07/10/2003

Non-Publication Request: No

Early Publication Request: No

Title

Method and apparatus for identifying a digital audio signal

**Preliminary Class**

725

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).